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MAR 0 7 2003

Attorney Docket No.: 80398P237C Technology Center 2600 Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In Re Application of: | |
|---|--|
| Armin Walter Bruderlin) | Examiner: Not yet assigned |
| Application No.: 10/052,068) | Art Unit: 2672 |
| Filed: January 16, 2002) | I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the |
| For: METHOD AND APPARATUS FOR THE) DIGITAL CREATION OF FUR) | Assistant Commissioner for Patents, Washington, D.C. 20231 Or. Dafe of Delposit |
| Assistant Commissioner of Patents Washington, D.C. 20231 | Name of Person Mailing Correspondence 2003 Signature Date |

PETITION TO CORRECT INVENTORSHIP UNDER CFR 1.48(a)

Please amend the above-referenced patent application to add Clint Hanson as an inventor.

Mr. Hanson was inadvertently omitted. The error occurred without any deceptive intention on the part of Mr. Hanson or Mr. Armin Walter Bruderlin, the person originally named as the sole inventor.

Pursuant to 37 CFR 1.48(a), the following is enclosed with this document:

- (1) a duly executed Declaration and Power of Attorney from both inventors with respect to the above-referenced patent application;
 - (2) Written Consent of Assignee;
 - (3) a Statement of Added Inventor; and
 - (4) a check in the amount of \$130.00 in payment under 37 CFR 1.17(i).

03/06/2003 SSESHE1 00000068 10052068

01 FC:1460 130.00 OP

If any additional fee is required, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: F53.26, 20036

Sheryl Sue Holloway Registration No. 37,850

12400 Wilshire Blvd. 7th Floor Los Angeles, CA 90025 (408) 720 - 8300



Attorney Docket No.: 080398.P237C

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Armin Walter Bruderlin

Examiner: Not yet assigned

Application No.: 10/052,068

Art Unit: 2672

Filed: January 16, 2002

FOR METHOD AND APPARATUS FOR THE

DIGITAL CREATION OF FUR

Assistant Commissioner of Patents

Washington, D.C. 20231

STATEMENT OF ADDED INVENTOR

I, Clint Hanson hereby state that I was inadvertently omitted as an inventor on the above referenced patent application and that the inventorship error occurred without deceptive intent on my part.

Respectfully submitted,

Technology Center 2003



Attorney Docket No.: 80398P237C Patent Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In Re Application of: |) |
|---|----------------------------|
| Armin Walter Bruderlin | Examiner: Not yet assigned |
| Application No.: 10/052,068 |) Art Unit: 2672 |
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| For: METHOD AND APPARATUS FOR THE DIGITAL CREATION OF FUR | RECEIVED MAR 0 7 2003 |
| Assistant Commissioner of Patents | Technology Center 2600 |

Washington, D.C. 20231

WRITTEN CONSENT OF ASSIGNEE

We, Sony Corporation a corporation of Japan having a principal place of business at 6-7-35 Kitashingawa, Shinagawa-ku, Tokyo, 141 Japan and Sony Pictures Entertainment Inc., a corporation of Delaware having a principal place of business at 10202 W. Washington Blvd., Culver City, CA 90232 ("assignees"), hereby state that to the best of assignees' knowledge and belief we are the assignees of the entire right, title, and interest in and to the above-referenced patent application, and represents that the undersigned are representatives authorized and empowered to sign on behalf of the assignees. Upon information and belief, the assignment document that evidences the placement of title in the assignees was recorded in the U.S. Patent and Trademark Office on November 1, 1999 at reel 010343 and frame 0150.

-1-10/052,068 80398P237C Pursuant to 37 C.F.R. 1.48(a), we hereby consent to Clint Hansen being added as an inventor to the above-referenced patent application.

EXECUTED IN COUNTERPART

Dated: 10/04/02 , 2002

Leah Weil

Senior Vice President and General Counsel

EXECUTED IN COUNTERPART

Dated: $\frac{10/10/0}{2002}$

Harold Tujii

Director, Senior IP Counsel

Attorney's Docket No.: 080398.P237C___

Patent

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below, next to my name.

I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

METHOD AND APPARATUS FOR THE DIGITAL CREATION OF FUR

| the specificati | on of which | |
|-----------------|---|----------|
| X | is attached hereto. was filed on (MM/DD/YYYY) <u>January 16, 2002</u> United States Application Number 10/052,068 | as |
| | or PCT International Application Numberand was amended on (MM/DD/YYYY) | <u> </u> |
| | (if applicable) | <u> </u> |

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above. I do not know and do not believe that the claimed invention was ever known or used in the United States of America before my invention thereof, or patented or described in any printed publication in any country before my invention thereof or more than one year prior to this application. I do not know and do not believe that the claimed invention was in public use or on sale in the United States of America more than one year prior to this application, nor do I know or believe that the invention has been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months (for a utility patent application) or six months (for a design patent application) prior to this application.

I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d), of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:



| Prior Foreign Application(s) | <u> </u> | | Priority <u>Claimed</u> |
|--|---|---|-------------------------------------|
| (Number) | (Country) | (Foreign Filing Date - MM/DD/YYYY) | Yes No |
| (Number) | (Country) | (Foreign Filing Date - MM/DD/YYYY) | Yes No |
| (Number) | (Country) | (Foreign Filing Date - MM/DD/YYYY) | Yes No |
| hereby claim the benefit upprovisional application(s) lise (Application Number) | | | any United States |
| (Application Number) | (Filing Date – MN | M/DD/YYYY) | |
| s not disclosed in the prior of Title 35, United States Co known to me to be material Section 1.56 which became or PCT international filing d | ode, Section 112, I ackno to patentability as defined available between the fil | wledge the duty to disclos d in Title 37, Code of Fede | e all information eral Regulations, |
| 09/370,104 | August 6, 1999 | Pending | |
| (Application Number) | (Filing Date – MM/DD | | nted, ling, abandoned) |
| (Application Number) | (Filing Date – MM/DD | , , | nted, ling, abandoned) |
| hereby appoint the person part of this document) as m substitution and revocation, and Trademark Office conn | y respective patent attorn to prosecute this applica | eys and patent agents, wi | th full power of |
| Send correspondence to CAFMAN LLP, 12400 Wilsivelephone calls to She | (Name of Attorney or Aq hire Boulevard 7th Floo | gent) r, Los Angeles, Californi | |
| | ne of Attorney or Agent) | | |

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

| Full Name of Sole/Firs | st inventor <u>Arm</u> | <u>ıın waiter Brud</u> | eriin | _ | |
|------------------------------|--------------------------------|--|-------------------|-----------------|---------------------------------------|
| Inventor's Signature _ | Ami | max. | <u> </u> | Date _ | 09-30-02 |
| Residence <u>Culver City</u> | | | Citizensi | nip <u>Germ</u> | |
| Poet Office Address 7 | (City, State | • | City California | 90230 | (Country) |
| - | O TO TTAITHUITT | tveriue, Guiver | Oky, Odmorna | 30200 | |
| Full Name of Second/ | Joint Inventor | Clint Hanson | | ···· | |
| Inventor's Signature _ | | ······································ | | Date _ | |
| Residence Vancouver | , British Colum (City, Stat | | Citizensh | nip <u>Cana</u> | adian (Country) |
| Post Office Address # | | • | ancouver, British | n Columi | bia, V6B 6J3, Canada |
| Full Name of Third/Joi | nt Inventor | | | | |
| Inventor's Signature _ | | | | Date _ | |
| Residence | (City, Stat | ۵۱ | Citizensh | nip | (Country) |
| Post Office Address _ | | • | | | |
| | -i-4 (| | | | |
| Full Name of Fourth/Jo | oint inventor _ | | | | · |
| Inventor's Signature _ | | | | Date _ | |
| Residence | (City, Stat | e) | Citizensh | ip | (Country) |
| Post Office Address _ | • | · | | | |
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APPENDIX A

Ramin Aghevli, Reg. No. 43,462; William E. Alford, Reg. No. 37,764; Farzad E. Amini, Reg. No. 42,261; W. Thomas Babbitt, Reg. No. 39,591; Jordan M. Becker, Reg. No. 39,602; Michael A. Bernadicou, Reg. No. 35,934; Roger W. Blakely, Jr., Reg. No. 25,831; R. Alan Burnett, Reg. No. 46,149; Gregory D. Caldwell, Reg. No. 39,926; Thomas M. Coester, Reg. No. 39,637; Robert P. Cogan, Reg. No. 25,049; Florin A. Corie, Reg. No. 46,244; Mimi D. Dao, Reg. No. 45,628; Stephen M. De Klerk, Reg. No. 46,503; Daniel M. De Vos, Reg. No. 37,813; Sanjeet Dutta, Reg. No. 46,145; Tarek N. Fahmi, Reg. No. 41,402; Thomas S. Ferrill, Reg. No. 42,532; George L. Fountain, Reg. No. 37,374; Angelo J. Gaz, Reg. No. 45,907; Andre M. Gibbs, Reg. No. 47,593; James Y. Go, Reg. No. 40,621; Mark A. Goldstein, Reg. No. 50,759; Michael D. Graham, Reg. No. 51,751; Melissa A. Haapala, Reg. No. 47,622; Alan E. Heimlich, Reg. No. 48,808; James A. Henry, Reg. No. 41,064; William E. Hickman, Reg. No. 46,771; Willmore F. Holbrow III, Reg. No. 41,845; Sheryl Sue Holloway, Reg. No. 37,850; George W Hoover II, Reg. No. 32,992; Libby H. Hope, Reg. No. 46,774; Eric S. Hyman, Reg. No. 30,139; William W. Kidd, Reg. No. 31,772; Walter T. Kim, Reg. No. 42,731; Eric T. King, Reg. No. 44,188; Steve Laut, Reg. No. 47,736; Suk S. Lee, Reg. No. 47,745; Gordon R. Lindeen III. Reg. No. 33.192; Jan C. Little, Reg. No. 41,181; Julio Loza, Reg. No. 47,758; Joseph Lutz, Reg. No. 43,765; Lawrence E. Lycke, Reg. No. 38,540; Michael J. Mallie, Reg. No. 36,591; Andre L. Marais, Reg. No. 48,095; Raul D. Martinez, Reg. No. 46,904; Paul A. Mendonsa, Reg. No. 42,879; Jonathan S. Miller, Reg. No. 48,534; Richard A. Nakashima, Reg. No. 42,023; Thien T. Nguyen, Reg. No. 43,835; Thinh V. Nguyen, Reg. No. 42,034; Robert B. O'Rourke, Reg. No. 46,972; Daniel E. Ovanezian, Reg. No. 41,236; Gregg A. Peacock, Reg. No. 45,001; Philip A. Pedigo, Reg. No. P-52,107; Marina Portnova, Reg. No. 45,750; Michael A. Proksch, Reg. No. 43,021; Joseph A. Pugh, Reg. No. P-52,137; James H. Salter, Reg. No. 35,668; William W. Schaal, Reg. No. 39,018; James C. Scheller, Reg. No. 31,195; Saina S. Shamilov, Reg. No. 48,266; Kevin G. Shao, Reg. No. 45,095; Stanley W. Sokoloff, Reg. No. 25,128; Judith A. Szepesi, Reg. No. 39,393; Edwin H. Taylor, Reg. No. 25,129; Lisa Tom, Reg. No. P-52,291; John F. Travis, Reg. No. 43,203; Thomas J. Treutler, Reg. No. 51,126; Kerry D. Tweet, Reg. No. 45,959; Mark C. Van Ness, Reg. No. 39,865; Thomas A. Van Zandt, Reg. No. 43,219; Lester J. Vincent, Reg. No. 31,460; Glenn E. Von Tersch, Reg. No. 41,364; John P. Ward, Reg. No. 40,216; Mark L. Watson, Reg. No. 46,322; Thomas C. Webster, Reg. No. 46,154; and Norman Zafman, Reg. No. 26,250; my patent attorneys, and Brent E. Vecchia, Reg. No. 48,011, and Lehua Wang, Reg. No. 48,023; my patent agents, of BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP, with offices located at 12400 Wilshire Boulevard, 7th Floor, Los Angeles, California 90025, telephone (310) 207-3800, and James R. Thein, Reg. No. 31,710, my patent attorney with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith.

APPENDIX B

Title 37, Code of Federal Regulations, Section 1.56 Duty to Disclose Information Material to Patentability

- A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
 - (1) Prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) The closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and
- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
 - (2) It refutes, or is inconsistent with, a position the applicant takes in:
 - (i) Opposing an argument of unpatentability relied on by the Office, or
 - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
 - (1) Each inventor named in the application;
 - (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.
- (e) In any continuation-in-part application, the duty under this section includes the duty to disclose to the Office all information known to the person to be material to patentability, as defined in paragraph (b) of this section, which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.



Attorney's Docket No.: 080398.P237C

Patent

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that

My residence, post office address and citizenship are as stated below, next to my name.

I bolieve I am the original, first, and sole inventor (it only one name is listed bolow) or an original, first, and joint inventor (it plural names are listed bolow) of the subject matter which is claimed and for which a patent is sought on the invention entitled METHOD AND APPARATUS FOR THE DIGITAL CREATION OF FUH

is attached horeto.

was filed on (MM/DD/YYY) January 16, 2002

United Stales Application Number 10/052,068

or PCT International Application Number and was amended on (MM/DD/YYY) (If applicable)

I hereby state that I have reviewed and understand the contents of the above-identitled specification, including the claim(s), as amended by any amendment referred to above. I do not know and do not believe that the claimed invention was ever known or used in the United States of America before my invention thereof, or patented or described in any printed publication in any country before my invention thereof or more than one year prior to this application. I do not know and do not believe that the claimed invention was in public use or on sale in the United States of America more than one year prior to this application, nor do I know or believe that the invention has been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months (for a utility patent application) or six months (for a design patent application) prior to this application.

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I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d), of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

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Technology Center 2600

Rev. 07/25/02 (D1)

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| Prior Foreign Application(s) | | | Priori <u>Claim</u> | |
|---|--|--|-----------------------------|--------------|
| (Number) | (Country) | (Foreign Filing Date - MM/DD/YYYY) | Yes | No |
| (Number) | (Country) | (Foreign Filing Date - MM/DD/YYYY) | Yes | No |
| (Number) | (Country) | (Foreign Filing Date - MM/DD/YYYY) | Yes | No |
| I hereby claim the benefit un provisional application(s) lis | ited below: | | ny United S | Statos |
| (Application Number) | (Filing Date - MM/ | DD/YYYY) | | |
| (Application Number) | (Filing Date - MM/ | DD/YYY) | | |
| application(s) listed below a is not disalosed in the prior of Title 35, United States Coknown to me to be material Section 1.56 which became or PC1 international filling disalog. | United States application in ode. Section 112, I acknow to patentability as defined available between the filling. | ledge the duty to disclose in Title 37. Code of Federa | all informa Il Regulatio | tion ons. |
| 09/370,104 | August 6, 1999 | Pending | | |
| (Application Number) | (Filing Date MM/DD/) | (Status patente pendin | ғи, g, abando | ned) |
| (Application Number) | (Filing Date - MM/DD/) | (Status – patente pendin | ed, ig, abando | ned) |
| I hereby appoint the persor part of this document) as m substitution and revocation and Trademark Office confr | ry respective patent attorner, to prosecute this application | rvs and datent adents, will | I IUII POMBI | 01 |
| Send correspondence to | Sheryl Sue Holloway (Name of Attorney or Ag | BLAKELY, SOK | OLOFF, T | AYLOR & |
| ZAFMAN LLP, 12400 WIIs | shire Boulevard 7th Floor | . Los Angeles, California | 90025 and | d direct |
| telephone calls to She | ryl Sue Holloway me of Attorncy of Agent) | _, (408) 720-8300. | | |

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

| Full Name of Sole/First | t Inventor <u>Armin Wattor</u> | Rundauiù | |
|--------------------------------|-----------------------------------|-------------------------------|----------------------|
| Inventor's Signature | | Dale | |
| Residence <u>Cul</u> iver City | California (City, State) | Citizenship <u>Germ</u> | (Country) |
| Post Office Address <u>7</u> | 313 Hannum Avenue, C | ulver City, California 80230 | |
| Full Name of Second/J | loint Inventor Clint Hans | son | 1 7 2002 |
| | 17 | Date | |
| Residence <u>Vancouver</u> | British Columbia (City, State) | Citizonship <u>Cana</u> | (Country) |
| Post Office Address #5 | 311-1238 Seymour Stre | et, Vangouver, British Columb | oja, VGB 6J3, Canada |
| Full Name of Third/Join | nt Inventor | | |
| Inventor's Signaturo _ | | Date | |
| Residence | (City, State) | Citizenship | (Country) |
| Post Office Address | <u> </u> | | |
| Full Name of Fourth/Jo | oint Inventor | | |
| Inventor's Signature _ | | Date | |
| Rasidence | (City, State) | Citizenship | (Country) |
| Post Office Address | | | |

APPENDIX A

Hamin Aghevli, Reg. No. 43,462; William E. Alford, Reg. No. 37,764; Farzad E. Amini, Reg. No. 42,261; W. Thomas Babbitt, Reg. No. 39,591; Jordan M. Becker, Reg. No. 39,602; Michael A. Bernadicou, Reg. No. 35,934; Roger W. Blakely, Jr., Reg. No. 25,831; R. Alan Burnett, Reg. No. 46,149; Gregory D. Caldwell, Reg. No. 39,926; Thomas M. Coester. Reg. No. 39,637; Robert P. Cargan, Reg. No. 25,049; Florin A. Corie. Reg. No. 46,244; Mirni D. Dao, Reg. No. 45,628; Stephen M. De Klerk, Reg. No. 46,503; Daniel M. De Vos. Hog. No. 37,813; Sanjeet Dutta, Reg. No. 46,145; Tarek N. Fahmi, Reg. No. 41,402; Thomas S. Forrill, Reg. No. 12,532; George L. Fountain, Reg. No. 37.374; Angelo J. Gaz, Heg. No. 45,907; Andre M. Gibbs, Reg. No. 47,593; James Y. Go, Reg. No. 40,621; Mark A. Goldstein, Reg. No. 50,759; Michael D. Graham, Reg. No. 51,751; Melissa A. Haapala, Reg. No. 47,622; Alan E. Heimlich, Reg. No. 48,808; James A. Henry, Reg. No. 41,064; William E. Hickman, Reg. No. 48,771; Willmore F. Holbrow III, Reg. No. 41,845; Sheryl Sue Holloway, Reg. No. 37,850, George W Hoover II, Reg. No. 32,992; Libby H. Hope, Heg. No. 46,774; Eric S. Hyman, Reg. No. 30,139; William W. Kidd, Reg. No. 31.772; Waltor T. Kım, Heg. No. 42,731; Eric T. King, Reg. No. 44,188; Steve Laut, Heg. No. 47,/36; Suk S. Loo, Reg. No. 47,745; Gordon H. Lindeen III, Reg. No. 33,192; Jan C. Little, Reg. No. 41,181; Julio Loza, Reg. No. 47,758; Joseph Lutz, Reg. No. 43,765; Lawrence E. Lycke, Reg. No. 38,540; Michael J. Mallie, Reg. No. 38,591; Andre L. Marais, Reg. No. 48,095; Raul D. Martinez, Reg. No. 46,904; Paul A. Mendonsa, Reg. No. 42,879; Jonathan S. Miller, Reg. No. 48,534; Richard A. Nakashima, Reg. No. 42,023; Thien T. Nguyen, Reg. No. 43,835; Thinh V. Nguyen, Reg. No. 42,034; Robert B. O'Rourke, Reg. No. 48,972; Daniel E. Ovanezian, Reg. No. 41,236; Gregg A. Peacock, Reg. No. 45.001; Philip Λ. Pedigo, Reg. No. P-52,107; Marina Portnova, Reg. No. 45,750; Michael A. Prokson, Reg. No. 43,021; Joseph A. Pugh, Rcg. No. P-52,137; James H. Salter, Reg. No. 35,668; William W. Schaal, Reg. No. 39,018; Jamos C. Scheller, Reg. No. 31,195; Saina S. Shamilov, Reg. No. 48,266; Kevin G. Shao, Reg. No. 45,095; Stanley W. Sokoloff, Reg. No. 25,128; Judith A. Szepesi, Reg. No. 39,393; Edwin H. Taylor, Reg. No. 25,129; Lisa Tom, Reg. No. P-52,291; John F. Travis, Reg. No. 43,203; Thomas J. Treuller, Reg. No. 51,126; Kerry D. Tweet, Reg. No. 45,959; Mark C. Van Ness, Reg. No. 39,865; Thomas A. Van Zandt, Reg. No. 43,219; Lester J. Vincent, Reg. No. 31,460; Glenn E. Von Tersch, Reg. No. 41,364; John P. Ward, Reg. No. 40,216; Mark L. Watson, Reg. No. 46,322; Thomas C. Webster, Reg. No. 46,154; and Norman Zafman, Reg. No. 26,250; my patent attorneys, and Brent E. Vecchia, Rcg. No. 48,011, and Lehua Wang, Reg. No. 48,023; my patent agents, of BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP, with offices located at 12400 Wilshire Boulevard, 7th Floor, Los Angeles, California 90025, telephone (310) 207-3800, and James R. Thein, Reg. No. 31,710, my patent attorney with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith.

APPENDIX B

Title 37. Code of Federal Regulations, Section 1.56 <u>Duty</u> to Disclose Information Material to Patontability

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 - (1) Prior articled in search reports of a foreign patent office in a counterpart application, and
- (2) The closest information over which individuals associated with the filling or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and
- (1) It cotablishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
 - (2) It refutes, or is inconsistent with, a position the applicant takes in:
 - (i) Opposing an argument of unpatentability relied on by the Office, or
 - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (o) Individuals associated with the filing or presecution of a patent application within the meaning of this section sue:
 - (1) Each Inventor named in the application;
 - (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an ohligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.
- (e) In any continuation-in-part application, the duty under this section includes the duty to disclose to the Office all information known to the person to be material to patentability, as defined in paragraph (b) of this section, which became available between the filling date of the prior application and the national or PCT international filling date of the continuation-in-part application.

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| 1202 | (ຈ) 18 | 2202 | (¥) 9 | Claims in excess of 20 | | | | |
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| 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath |
|------------------|-------------------------------------|--------------|-----------------|--|
| 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet |
| 1053 | 130 | 1053 | 130 | Non-English specification |
| 1812 | 2,520 | 1812 | 2,520 | For filing a request for ex parte reexamination |
| 1813 | 8,800 | 1813 | 8,800 | Request for inter parties reexamination Requesting publication of SIR prior to Examiner action |
| 1804 | 920* | 1804 | 920* | |
| 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action |
| 1251 | 110 410 | 2251 2252 | 55 205 | Extension for reply within first month |
| 1252 1253 | 930 | 2252 2253 | 205 465 | Extension for reply within second month Extension for reply within third month |
| 1253 | 1,450 | 2253 2254 | 725 | Extension for reply within fourth month |
| 1255 | 1,430 | 2255 | 985 | Extension for reply within fifth month |
| 1401 | 320 | 2401 | 160 | Notice of Appeal |
| 1402 | 320 | 2402 | 160 | Filing a brief in support of an appeal |
| 1403 | 280 | 2403 | 140 | Request for oral hearing |
| 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding |
| 1452 | 110 | 2452 | 55 | Petition to revive – unavoidable |
| 1453 | 1,300 | 2453 | 650 | Petition to revive - unintentional |
| 1501 | 1,300 | 2501 | 650 | Utility issue fee (or reissue) |
| 1502 | 470 | 2502 | 235 | Design issue fee |
| 1503 | 630 | 2503 | 315 | Plant issue fee |
| 1460 | 130 | 1460 | 130 | Petitions to the Commissioner 130.00 |
| 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17(q) |
| 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt |
| 8021 | 40 | 8021 | 40 | Recording each patent assignment per |
| | | | | property (times number of properties) 40.00 |
| 1809 | 750 | 2809 | 375 | For filing a submission after final rejection |
| l | | | | (see 37 CFR 1.129(a)) |
| 1814 | 110 | 2814 | 55 | Statutory Disclaimer |
| 1810 | 750 | 2810 | 375 | For each additional invention to be examined |
| İ | | | | (see 37 CFR 1.129(b)) |
| 1801 | 750 | 2801 | 375 | Request for Continued Examination (RCE) |
| 1802 | 900 | 1802 | 900 | Request for expedited examination of a design |
| 1 | | | | application |
| 1504 | 300 | 1504 | 300 | Publication fee for early, voluntary, or normal pub. |
| 1505 | 300 | 1505 | 300 | Publication fee for republication |
| 1803 | 130 | 1803 | 130 | Request for voluntary publication or republication |
| 1808 1454 | 130 1,300 | 1808 1454 | 130 1,300 | Processing fee under 37 CFR 1.17(i) (except provisionals) Acceptance of unintentionally delayed claim for priority |
| 1454 | 1,300 | 1434 | 1,300 | Acceptance of unimentionally delayed claim for phority |
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| yped | or Printed | name: | <u>Sneryl S</u> | Sue Holloway |
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| I hereby | cortify that th | nis correspo | | RST CLASS CERTIFICATE OF MAILING ng deposited with the United States Postal Service as first class mail with sufficient |
| | | | | tant Commissioner for Patents, Washington, D.C. 20231 |
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| on <u>Febr</u> ı | uary 26, 2003 | | | (D) |
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| | $\mathcal{X}\mathcal{N}\mathcal{V}$ | ye 1 | 1000 | February 26, 2003 |
| | | Signature | | Date |